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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Warren P. Williamson IV, Paul A. Spence, George T. Chistakis, Mark Ortiz
Application No./Patent No.: 6,402,780 Filed/Issue Date: June 11, 2002
Entitled: Means And Method Of Replacing A Heart Valve In A Minimally Invasive Manner
Medtronic, Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1.
2. an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is%
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
1. From: Warren P. Williamson IV, Paul A. Spence, Mark Ortiz To: Cardiovascular Technologies, LLC. The document was recorded in the United States Patent and Trademark Office
at Reel <u>015127</u> , Frame <u>0472</u> , or for which a copy thereof is attached. 2. From: <u>George C. Christakis.</u> To: <u>Cardiovascular Partners, LLC</u> . The document was recorded in the United States Patent and Trademark Office at Reel <u>015205</u> , Frame <u>0456</u> , or for
which a copy thereof is attached.
3. From: <u>George T. Christakis, M.D. To: Cardiovascular Technologies, LLC.</u> The document was recorded in the United States Patent and Trademark Office at Reel <u>021561</u> , Frame <u>0780</u> , or for which a copy thereof is attached.
4. From: George T. Christakis, M.D. To: Cardiovascular Technologies, LLC. The document was recorded in the United States Patent and Trademark Office at Reel 023882, Frame 0975, or for
which a copy thereof is attached. 5. From: Cardiovascular Technologies, LLC. To: Arbor Surgical Technologies, Inc. The document was recorded in the United States Patent and Trademark Office at Reel 024079, Frame
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6. From: Arbor Surgical Technologies, Inc. To: Medtronic, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel <u>024140</u> , Frame <u>0312</u> , or for which a copy thereof is attached. As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner
to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to
Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
Katrina A. Witschen (763) 505-8418 Printed or Typed Name Telephone Number
•
Patent Counsel Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 23313-1450. 1450, Alexandria, VA 22313-1450.